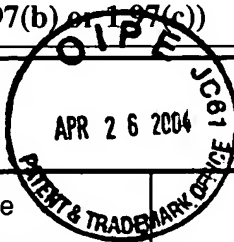


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-1819

In Re Application Of: Baerlocher et al.



Serial No.
10/738,426

Filing Date
December 16, 2003

Examiner
Unknown

Group Art Unit
3711

Title: GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE LAWS OF PHYSICS

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

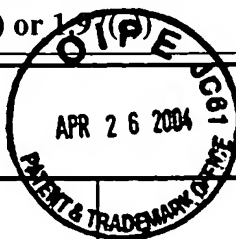
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(f))

Docket No.
0112300-1819

In Re Application: Baerlocher et al.



Serial No.

10/738,426

Filing Date

December 16, 2003

Examiner

Unknown

Group Art Unit

3711

GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE LAWS OF PHYSICS**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on April 20, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Signature

Dated: April 20, 2004

Adam H. Masia (Reg. No. 35,602)

BELL, BOYD & LLOYD LLC

P. O. Box 1135

Chicago, Illinois 60690-1135

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Baerlocher et al.
Appl. No.: 10/738,426
Conf. No.: 8046
Filed: December 16, 2003
Title: GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE LAWS
OF PHYSICS
Art Unit: 3711
Examiner: Unknown
Docket No.: 0112300-1819

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/967,243, filed on September 28, 2001, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,448,419	May 15, 1984	Telnaes
4,582,324	April 15, 1986	Koza, et al.
4,618,150	October 21, 1986	Kimura
4,695,053	September 22, 1987	Vazquez, Jr. et al.
4,871,171	October 3, 1989	Rivero
5,342,047	August 30, 1994	Heidel et al.
5,393,061	February 28, 1995	Manship et al.
5,449,173	September 12, 1995	Thomas et al.
5,524,888	June 11, 1996	Heidel
5,639,089	June 17, 1997	Matsumoto et al.
5,695,188	December 9, 1997	Ishibashi
5,769,716	June 23, 1998	Saffari, et al.

5,833,536	November 10, 1998	Davids, et al.
5,833,537	November 10, 1998	Barrie
5,873,781	February 23, 1999	Keane
5,951,397	September 14, 1999	Dickinson
6,015,346	January 18, 2000	Bennett
6,047,963	April 11, 2000	Pierce et al.
6,071,192	June 6, 2000	Weiss
6,102,798	August 15, 2000	Bennett
6,139,013	October 31, 2000	Pierce et al.
6,142,874	November 7, 2000	Kodachi et al.
6,142,875	November 7, 2000	Kodachi et al.
6,159,095	December 12, 2000	Frohm et al.
6,159,097	December 12, 2000	Gura
6,165,070	December 26, 2000	Nolte et al.
6,174,235 B1	January 16, 2001	Walker et al.
6,210,279 B1	April 3, 2001	Dickinson
6,220,593 B1	April 24, 2001	Pierce et al.
6,261,177 B1	July 17, 2001	Bennett
6,267,669 B1	July 31, 2001	Luciano, Jr. et al.
6,270,408 B1	August 7, 2001	Sakamoto et al.
6,315,664 B1	November 13, 2001	Baerlocher et al.
6,506,114 B1	January 14, 2003	Estes et al.

OTHER DOCUMENTS

Pachinko.com (website) written by Real Entertainment Ltd., printed March 21, 2001.

PC-Plinko Ver. 10.0 written by UP Technologies, Inc., published in 1993.

Pachinko.txt written by Brian Kent, published 1997.

Plinko, written by Kenny Lyons, published October 28, 2002.

Price is Right – Plinko Game Descriptions (website), printed March 21, 2001.

Roulette Advertisement written by Atronic Casino Technology, published in 1999.

Jokers Wild Advertisement written by IGT, published prior to 2001.

Party Time Razzle Dazzle Advertisement written by IGT, published in 1999.

Slot Line Publication including Pinball Description written by IGT, published in 1998.

Pinball Advertisement written by IGT, available in 1998.

Applicants look forward to early and favorable consideration of this matter.

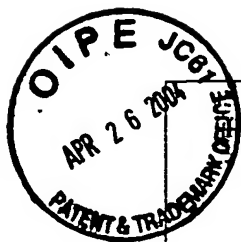
Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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Date: April 20, 2004



INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.
0112300-1819

Application No.
10/738,426

Applicant
Anthony J. Baerlocher, et al.

Filing Date
December 16, 2003

Group
3711

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,448,419	May 15, 1984	Telnaes			
	4,582,324	April 15, 1986	Koza, et al.			
	4,618,150	October 21, 1986	Kimura			
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	6,174,235	January 16, 2001	Walker et al.			
	6,210,279	April 3, 2001	Dickinson			

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0112300-1819	Application No. 10/738,426
	Applicant Anthony J. Baerlocher, et al.	
	Filing Date December 16, 2003	Group 3711

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	6,220,593 B1	April 24, 2001	Pierce et al.			
	6,261,177 B1	July 17, 2001	Bennett			
	6,267,669 B1	July 31, 2001	Luciano, Jr. et al.			
	6,270,408 B1	August 7, 2001	Sakamoto et al.			
	6,315,664 B1	November 13, 2001	Baerlocher et al.			
	6,506,114 B1	January 14, 2003	Estes et al.			

Examiner's Initials	OTHER DOCUM-ENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Pachinko.com (website) written by Real Entertainment Ltd., printed March 21, 2001.
	Price is Right – Plinko Game Descriptions (website), printed March 21, 2001.
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	Plinko, written by Kenny Lyons, published October 28, 2002.

* Did not receive any NPL.

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